

# TRANSMITTAL OF UTILITY PATENT APPLICATION UNDER 37 CFR 1.53(b)

Attorney Docket No. 38830/193296CONT.  
First Named Inventor Arthur W. Johnston et al.  
Title Microbiological Water Filter  
Express Mail Label No. EL670010010US

APPLICATION ELEMENTS  
See MPEP chapter 600 concerning utility patent application contents

ADDRESS TO: Assistant Commissioner for Patents  
Box Patent Application  
Washington, D.C. 20231

1. ☒ Specification Total Pages **45**  
(preferred arrangement as set forth below)  
- Descriptive title of the Invention  
- Cross References to Related Applications  
- Statement Regarding Fed sponsored R & D  
- Reference to Microfiche Appendix  
- Background of the Invention  
- Brief Summary of the Invention  
- Brief Description of the Drawings (if filed)  
- Detailed Description  
- Claim(s)  
- Abstract of the Disclosure

5. ☐ Application Data Sheet (37 CFR 1.76)  
6. ☐ Microfiche Computer Program (Appendix)  
7. ☐ Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)  
a. ☐ Computer Readable Copy  
b. ☐ Paper Copy (identical to computer copy)  
c. ☐ Statement verifying identity of above copies

2. ☐ Drawing(s) (35 USC 113) Total Sheets  
3. Oath or Declaration Total Pages **3**

- a. ☐ Unexecuted (original or copy)  
b. ☒ Copy from a prior application (37 CFR 1.53(d)) (for continuation/divisional with box 17 completed) (Note Box 4 below)  
i. ☐ DELETION OF INVENTOR(S)  
Signed statement attached deleting inventor(s) named in the prior application, See 37 CFR 1.63(d)(2) and 1.33(b)

4. ☒ Incorporation By Reference (usable if Box 3e is checked)  
The entire disclosure of the prior application, which a copy of the oath or declaration is supplied under Box 3b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein

## ACCOMPANYING APPLICATION PARTS

8. ☐ Assignment Papers (cover sheet & document(s))  
9. ☐ 37 CFR 3.73(b) Statement ☐ Power of Attorney (when there is an assignee)  
10. ☐ English Translation Document (if applicable)  
11. ☒ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations  
12. ☒ Preliminary Amendment  
13. ☒ Return Receipt Postcard (MPEP 503)  
14. ☐ Applicant claims small entity status (37 CFR 1.27)  
15. ☐ Certified Copy of Priority Document(s) (If foreign priority is claimed)  
16. ☐ Request and Certification under 35 USC 122(b)(2)(B)(ii)  
17. ☒ Other:

Check in the amount of \$ **355.00**

During the pendency of this application, the Commissioner is hereby authorized to credit overpayments or charge any additional fees under 37 CFR 1.116 and 1.117 to Deposit Account No. 11-0955

18. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information below and in a preliminary amendment.

- ☒ Continuation of prior application No. **09/382,278** ☐ Divisional ☐ Continuation-in-part (CIP)  
Prior application information: Examiner: **Chester Barry** Group/Art Unit: **1724**

## 19. FEE CALCULATIONS

For	Number Filed	Extra	Rate	Calculations
Total Claims	2 - 20	= 0	\$18 =	\$ 0.00
Indep. Claims	1 - 3	= 0 x	\$80 =	\$ 0.00
Multiple Dependent Claims (if applicable)		+ \$270 =		\$ 0.00
Basic Fee (37 CFR 1.16)				\$ 710.00
Total Calculations				\$ 710.00
<input type="checkbox"/> Applicant claims small entity status (37 CFR 1.22) 50% reduction				\$ -355.00
<input type="checkbox"/> Fee for recording the enclosed assignment (37 CFR 1.21(h)).				\$ 0.00

The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31) \$40 per property

## TOTAL FEES DUE

\$ **355.00**

## 20. CORRESPONDENCE ADDRESS

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Name (Print/Type) **Bruce D. Gray** Registration No. (Attorney/Agent) **35,799**  
Signature *[Signature]* Date **January 23, 2001**

## 21. CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.10

I hereby certify that this correspondence, along with any paper referred to as being attached or enclosed, is being deposited with the United States Postal Service on this **23** day of January, 2001 in an envelope as "Express Mail Post Office to Addressee" service under 37 CFR 1.10, Mailing Label Number **EL670010010US** addressed to the Box PATENT APPLICATION, Assistant Commissioner for Patents, Washington, D.C. 20231.

*[Signature]*  
Signature